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Attorney's Docket No.: C01104/70091

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Frederick Morgan, et al.
Serial No.: 10/045,629
Confirm. No.: 3677
Filed: October 25, 2001
For: METHODS AND APPARATUS FOR CONTROLLED
ILLUMINATION
Examiner: Haissa Philogene
Art Unit: 2821

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP: ISSUE FEE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 22 of January, 2004.


Jeanne W. Chub

MAIL STOP: ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/Madame:

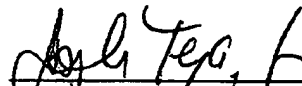
Transmitted herewith are the following documents:

- ☒ Part B – Fee Transmittal
- ☒ Response to Notice of Allowance and Examiner's Reason for Allowance
- ☒ Notification of Loss of Entitlement to Small Entity Status (37 CFR 1.27(g)(2))
- ☒ Request to Correct Inventorship Under 37 C.F.R. §1.48(a)
- ☒ Statement of John Warwick Accompanying Request to Correct Inventorship Under 37 C.F.R. §1.48(a)
- ☒ Statement of Timothy Holmes Accompanying Request to Correct Inventorship Under 37 C.F.R. §1.48(a)
- ☒ Supplemental Declaration for Patent Application (2)
- ☒ Written Consent of Assignee Accompanying Request to Correct Inventorship Under 37 C.F.R. §1.48(a)
- ☒ Copy of Revocation of Prior Powers and New Power of Attorney Filed September 22, 2003, including Copy of Stamped Postcard and Transmittal
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

A check in the amount of \$1,630.00 is enclosed for the Issue Fee and Publication Fee. If the amount is insufficient, the balance may be charged to Deposit Account No. 50/2762. A duplicate of this sheet is enclosed.

Respectfully submitted,



Joseph Teja, Jr., Reg. No. 45,157
LOWRIE, LANDO & ANASTASI, LLP
Riverfront Office Park
One Main Street
Cambridge, MA 02142
(617) 395-7000
Attorney for Applicants

Attorney Docket No.: C01104.70091
Dated: January 22, 2004

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JAN 26 2004
O.I.P.E.

COPY

Serial No. 10/045,629 File No. 001104/70091 By: JT/jc
 Title: Methods and Apparatus for Controlling Illumination
 Application of Frederick M. Morgan et al. Date: _____
 The U.S. PTO Mail Room acknowledges receipt of the following on the date stamped hereon:

<input type="checkbox"/> Mailing by Express Mail (37 CFR 1.10)	<input type="checkbox"/> Provisional Application Cover Sheet
<input type="checkbox"/> Express Mail Label No. _____	<input type="checkbox"/> Multiple Dependent Claim Fee Sheet
<input type="checkbox"/> Patent Application	<input type="checkbox"/> Inf. Disc. Statement, PTO Form 1449
<input type="checkbox"/> Non-provisional <input type="checkbox"/> Provisional	<input type="checkbox"/> References Cited
Incl. _____ pages, (_____ pgs) Specification,	<input type="checkbox"/> Priority Document(s) # _____
(_____ pgs) Abstract, (_____ pgs) Claims (_____ # claims)	<input type="checkbox"/> Copy of Notice to File Missing Parts
<input type="checkbox"/> Design Patent Application	<input type="checkbox"/> Amendment/Response
Declaration(s) _____	<input type="checkbox"/> Petition for Ext. of Time (x2)
<input type="checkbox"/> Drawings _____ Sheet(s) (FIGS. _____)	<input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> Formal <input type="checkbox"/> Informal	<input type="checkbox"/> Letter to Official Draftsperson
<input type="checkbox"/> Utility Patent Application Transmittal	<input type="checkbox"/> Notice of Appeal
<input type="checkbox"/> Fee calculation sheet (x2)	<input type="checkbox"/> Brief (x3)
<input type="checkbox"/> CPA Transmittal	<input type="checkbox"/> Check for \$ _____ Check # _____
<input type="checkbox"/> Verified Statement claiming small entity status	<input checked="" type="checkbox"/> Transmittal Letter (x2)
<input type="checkbox"/> Assignment and Cover Sheet	<input checked="" type="checkbox"/> Cert. of Mailing under 37 CFR 1.8(a)

☒ Other Revocation of Prior Powers and New Power of Attorney
Certificate Under 37 CFR 3.73(b)

DATE MAILED September 22, 2003

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<input type="checkbox"/> Non-provisional <input type="checkbox"/> Provisional	<input type="checkbox"/> References Cited
Incl. _____ pages, (_____ pgs) Specification,	<input type="checkbox"/> Priority Document(s) # _____
(_____ pgs) Abstract, (_____ pgs) Claims (_____ # claims)	<input type="checkbox"/> Copy of Notice to File Missing Parts
<input type="checkbox"/> Design Patent Application	<input type="checkbox"/> Amendment/Response
Declaration(s) _____	<input type="checkbox"/> Petition for Ext. of Time (x2)
<input type="checkbox"/> Drawings _____ Sheet(s) (FIGS. _____)	<input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> Formal <input type="checkbox"/> Informal	<input type="checkbox"/> Letter to Official Draftsperson
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DATE MAILED September 22, 2003



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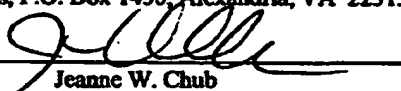
Attorney's Docket No.: C01104/70091

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Frederick M. Morgan, et al.
Serial No.: 10/045,629
Confirm. No.: 3677
Filed: October 25, 2001
For: METHODS AND APPARATUS FOR CONTROLLING
ILLUMINATION
Examiner: Philogene, Haissa
Art Unit: 2821

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 22nd day of September, 2003.


Jeanne W. Chub

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

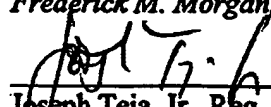
Transmitted herewith are the following documents:

- ☒ Revocation of Prior Powers and New Power of Attorney
- ☒ Certificate Under 37 CFR 3.73(b)
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch are respectfully requested to contact the undersigned at (617) 395-7000, Cambridge, Massachusetts.

No fee is enclosed. If a fee is necessary, the Commissioner is hereby authorized to charge Deposit Account No. 50/2762. A duplicate of this sheet is enclosed.

Respectfully submitted,
Frederick M. Morgan, et al., Applicants


Joseph Teja, Jr., Reg. No. 45,157
LOWRIE, LANDO & ANASTASI, LLP
Riverfront Office Park
One Main Street
Cambridge, MA 02142
(617) 395-7000

September 22, 2003



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Jeanne Chab

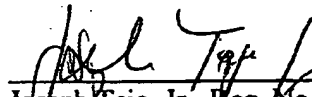
MAIL STOP: ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS
(37 CFR 1.27(g)(2))**

Sir:

Applicants hereby notify the U.S. Patent Office that they are no longer entitled to status as a small entity with respect to this application, and that the claim for small entity status is hereby withdrawn.

Respectfully submitted,


Joseph Teja, Jr., Reg. No. 45,157
LOWRIE, LANDO & ANASTASI, LLP
Riverfront Office Park
One Main Street
Cambridge, MA 02142
(617) 395-7000
Attorney for Applicants

Attorney Docket No.: C01104.70091
Dated: January 22, 2004

Complete and send this form, together with applicable fee(s), to: Mail

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed with appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

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BOSTON, MA 02210-2211



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Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

Jeanne W. Chub

(Depositor's name)

[Signature]

(Signature)

January 22, 2004

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/045,629	10/25/2001	Frederick M. Morgan	C01104/70091 RFG	3677

TITLE OF INVENTION: METHODS AND APPARATUS FOR CONTROLLING ILLUMINATION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$800 \$1,330	\$300	\$1,100 \$1,630	03/17/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
PHILOGENE, HAISSA	2821	315-295000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Lowrie, Lando & Anastasi, LLP

2. _____

3. _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Color Kinetics, Incorporated

Boston, Massachusetts

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☐ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☒ Issue Fee

☒ Publication Fee

☐ Advance Order - # of Copies _____

4b. Payment of Fee(s):

☒ A check in the amount of the fee(s) is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Director is hereby authorized to charge the required fee(s), or credit any overpayment Deposit Account Number _____ (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

Joseph Teja, Jr.

January 22, 2004

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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01/30/2004 FFAHAI3 00000120 10045629

01 FC:1501

1330.00 OP

02 FC:1504

300.00 OP



18

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Jeanne Clark

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

MATCH & RETURN

REQUEST TO CORRECT INVENTORSHIP UNDER 37 C.F.R. §1.48(a)

Sir/Madam,

This is a request under 37 C.F.R. §1.48(a) to add inventors John Warwick and Timothy Holmes in the above-identified application. Pursuant to 37 C.F.R. §1.48(a), accompanying this request are: 1) statements from John Warwick and Timothy Holmes that the error in inventorship occurred without deceptive intention on their part; 2) an oath or declaration signed by the actual inventors; and 3) the written consent of the assignee of the original named inventors and accompanying certification under 37 C.F.R. §3.73(b).

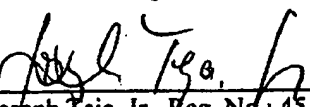
The processing fee of \$130, pursuant to 37 C.F.R. §1.17(i), is enclosed. Please charge any deficiencies to Deposit Account No. 50/2762.

Favorable consideration is requested.

Respectfully submitted,

Frederick Morgan, et al., Applicants

02/02/2004 AV00DAF1 00000002 502762 10045629
01 FC:1460 130.00 BA

By: 

Joseph Teja, Jr., Reg. No.: 45,157
Lowrie, Lando & Anastasi, L.L.P.
One Main Street
Cambridge, Massachusetts 02142

Docket No.: C01104/70091
Date: January 22, 2004

702745.1

The PTO did not receive the following
listed item(s) _____




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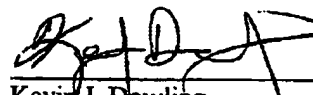
WRITTEN CONSENT OF ASSIGNEE ACCOMPANYING REQUEST TO
CORRECT INVENTORSHIP UNDER 37 C.F.R. §1.48(a)

Sir/Madam,

Color Kinetics, Incorporated, assignee of the entire right, title, and interest in the above-identified application, hereby gives its written consent to add inventors John Warwick and Timothy Holmes in the above-identified application pursuant to the accompanying request to correct inventorship under 37 C.F.R. §1.48(a).

Respectfully submitted,

Jan 14, 2004
Date


Kevin J. Dowling
Vice President of Strategy and Technology
Color Kinetics, Inc.

702753.1



ATTORNEY DOCKET NO.: C01104/70091

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Jeanne W. Chubb

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

STATEMENT OF JOHN WARWICK ACCOMPANYING REQUEST TO
CORRECT INVENTORSHIP UNDER 37 C.F.R. §1.48(a)

Sir/Madam,

I, John Warwick, state that the error in inventorship in the above-identified application occurred without deceptive intention on my part.

This statement is made with knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that any such willful false statements may jeopardize the validity of this application or any patent issued thereon.

Respectfully submitted,

14 Jan 2004
Date


John Warwick

702748.1